



Municipal Securities Rulemaking Board

2010 Filings with the SEC

SR-MSRB-2010-18 (December 23, 2010)

Revisions to the Selection Specifications for the Municipal Securities Representative Qualification Examination (Series 52) Program

SR-MSRB-2010-17 (November 30, 2010)

Proposed Rule Change to Amend Rule A-3, on Membership on the Board, in Order to Establish a Nominating Committee in Compliance with Transitional Rule A-3(i)
(Refiled to make technical corrections; original filed on November 8, 2010)

SR-MSRB-2010-16 (November 1, 2010)

Proposed Rule Change to Amend Rules G-5, on Disciplinary Actions by Appropriate Regulatory Agencies, Remedial Notices by Registered Securities Associations; and G-17, on Conduct of Municipal Securities Activities

SR-MSRB-2010-15 (November 10, 2010)

Proposed Rule Change Consisting of New Rules D-13, on a Definition of "Municipal Advisory Activities," and D-14, on a Definition of "Appropriate Regulatory Agency," and Proposed Amendments to Rules D-11, on "Associated Persons," and G-40, on Electronic Mail Contacts, and Form G-40
(Refiled to make technical corrections; original filed on November 1, 2010)

SR-MSRB-2010-14 (November 9, 2010)

Proposed Rule Change to Amend Rules A-12, on Initial Fee, and A-14, on Annual Fee
(Refiled to make technical corrections; original filed on November 1, 2010)

SR-MSRB-2010-13 (November 1, 2010)

Proposed Rule Change to Amend Rules A-7, on Assessments, and A-8, on Rulemaking Procedures

SR-MSRB-2010-12 (November 9, 2010)

Revisions to the Study Outline and Selection Specifications for the Municipal Securities Representative Qualification Examination (Series 52) Program
(Refiled to make technical corrections; original filed on October 26, 2010)

SR-MSRB-2010-11 (October 1, 2010)

Amended and Restated Articles of Incorporation

SR-MSRB-2010-10 (September 30, 2010)

Proposed Rule Change to Amend Rule A-13 to Increase Transaction Assessments for Certain Municipal Securities Transactions Reported to the MSRB and to Institute a New Technology Fee on Reported Sales Transactions

SR-MSRB-2010-09 (September 30, 2010)

Proposed Rule Change Regarding Fee Changes to the MSRB's Real-Time Transaction Price Service and Comprehensive Transaction Price Service and Termination of the MSRB's T+1 Transaction Price Service

SR-MSRB-2010-08 (August 27, 2010)

Proposed Rule Change to Amend Rule A-3, on Membership on the Board, in Order to Facilitate the Change in Composition of the Board to Comply with the Dodd-Frank Wall Street Reform and Consumer Protection Act
Amendment No. 1 (September 30, 2010)

SR-MSRB-2010-07 (August 25, 2010)

Interpretive Notice Providing Guidance on Dealer-Affiliated Political Action Committees Under Rule G-37, on Political Contributions and Prohibitions on Municipal Securities Business

SR-MSRB-2010-06 (August 10, 2010)

Proposed Rule Change to Establish a Subscription to the Information Collected by the MSRB's Short-term Obligation Rate Transparency System
Amendment No. 1 (September 16, 2010)

SR-MSRB-2010-05 (June 30, 2010)

Proposed Rule Change to Amend the Continuing Disclosure Service of the EMMA System to Reflect Recent SEC Amendments to Securities Exchange Act Rule 15c2-12

SR-MSRB-2010-04 (June 14, 2010)

Proposed Rule Change to Terminate the MSRB's Public Access Facility and Revise a Rule G-37 Interpretive Question and Answer Regarding the Public Access Facility

SR-MSRB-2010-03 (May 20, 2010)

Amendments to the Continuing Disclosure Service of the EMMA System to Provide for the Posting of Credit Rating Information on the EMMA Public Web Site

SR-MSRB-2010-02 (March 10, 2010)

Proposed Rule Change to Rule G-34 to Enhance the Interest Rate and Descriptive Information Currently Collected and Made Transparent by the MSRB on Municipal Auction Rate Securities and Variable Rate Demand Obligations

Amendment No. 1 (July 9, 2010)

SR-MSRB-2010-01 (February 25, 2010)

Revised Rule G-37 Interpretive Questions and Answers Concerning Contributions to Bond Ballot Campaigns